My name is Bill O'Donnell. I am a computer operator with DOJ. I operate the TIME System that the budget proposes to move to DOA. Now I have an obvious self-interest here and you should take what I say with a grain of salt. However, you should also see that this budget also contains a good deal of other people's self-interest, especially as it relates to DOJ and the Time System and you should treat the Governor proposals with an equal grain of salt.

Today, government is supposed to be following the lead of private industry and toward that end DOJ has purchased for the TIME System, Client/Server technology to replace our current technology. The trend in the computer industry is to replace mainframes, wherever possible, with Client/Server which basically smaller, more powerful and more cost-effective. Mainframes serve an ever-shrinking niche of the computer market. I amazed that this budget stops DOJ from converting to a technology that would use tax dollars more effectively.

What are we supposed to do with the Client/Server equipment now sitting in our computer room collecting dust? The budget more-orless says we cannot even plug the machine in? And my understanding is that DOA will have to buy another computer to run the TIME System as their system is now operating at maximum capacity. They will also have to buy software to run the state control center and then spend more money to customize that software to make it compatible with what the law enforcement agencies in the state are using. And then they are going to have to hire 6 more people to run the TIME System which is a 24-hrs a day, 7 days a week, 365 days a year operation. Where is the savings, where is the advantage?

Economics aside, DOA has done a dismal job in handling the DOT files needed by law enforcement. When a cop stops a car on the street, they need answers fast. The TIME System gives them fast responses, the FBI gives them fast responses but not so DOA/DOT. Last week I called DOA and told them there was a problem with DOT and they said they'd take care of it. Then after 20 minutes I called DOA back and they said, "Oh, I'm sorry. I got involved in something else and forgot about you." And the Governor wants DOA to run the whole TIME System like this? Police officers deserve better than DOA.

And despite what you were told last week, if Johann Gutenberg were actually standing here before you today, I suspect he would tell you \underline{not} to vest the awesome power of information in the hands of any monastery or any agency.

Moving the TIME System from Justice to DOA would be a mistake.

BILL O'DONNELL
WI DEPT. OF JUSTICE
TIME CONTROL CENTER
466-7633

INTERSECTION OF PUBLIC POLICY AND EMERGING TECHNOLOGIES

The Wisconsin Experience

In 1991 the Wisconsin Legislature and the Governor added to the progressive tradition of this state by creating the Wisconsin Privacy Council and the nation's first Privacy Advocate. That initiative was in response to mounting concerns over personal and information privacy in an electronic age. Since the official opening of the Office of the Privacy Advocate last April, unanticipated press and public interest at both the state and national level has developed. Agencies of the federal government are amongst those who have expressed interest in modeling the "Wisconsin experience".

Because of rapidly emerging electronic and telecommunications technologies, it is imperative that state and local governmental authorities balance values of open government and accountability with reasonable expectations of personal and information privacy. If technology is utilized to promote efficiency, streamline record keeping, track benefit recipients, cut costs and root out fraud, then fair information practices must be developed and followed. Last November the Privacy Council published a set of ethical principles to guide the sharing and comparing of databases containing personal information. It is believed to be the first such state-level effort in the nation. In her first year, the Privacy Advocate responded to nearly 100 complaints from citizens and constituents. The following questions are representative of the kinds of technology-driven concerns that are being raised.

- 1) Under what circumstances is it appropriate for units of government to link, cross-tabulate or track personal data? Are state agencies fully reporting all computer matching programs as required in Sec. 19.69 Stats.? Should the provider of information be informed when identifiable personal information obtained for one reason is subsequently used or disclosed for some secondary purposes?
- Are citizens aware that they have the right under current Wisconsin law to access their own governmental records in order to review, copy and challenge the accuracy of their personal data?
- 3) Should providers of information be allowed some control over how personal data required by government is used, linked and shared? Should the receivers of identifiable data (in either the public or private sectors) be obligated to assume some responsibilities of information stewardship?
- 4) Was it the intent of the framers of Wisconsin's open records law that entire databases be accessible upon demand and at low cost to private commercial interests?
- Wisconsin provides strong confidentiality protections for medical records. Is that confidentiality guaranteed when medical data is re-released or transmitted to third parties or outside state jurisdiction? Is patient consent to release their medical records truly informed, voluntary and ongoing? What are the implications for refusing to consent?
- Are citizens aware that under some circumstances they may limit the release of their names and addresses from motor vehicle and title registration records? This opt-out option is permitted when there is a request for a list containing 10 or more names. Should such opt-out opportunities be expanded to other state and local agencies, or would this jeopardize Wisconsin's tradition of openness?

PRINCIPLES FOR FAIR INFORMATION PRACTICES IN WISCONSIN STATE AND LOCAL GOVERNMENT

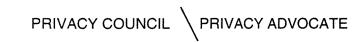
<u>Preamble</u>

Advances in computer and telecommunications technologies assure the continued growth of electronic information and transactional data that are collected, stored, analyzed, merged, linked and disseminated. The following principles were developed by the Wisconsin Privacy Council to provide guidance for the use of personal information in a nation-wide interactive information highway. The guidelines are intentionally broad and are not intended to address specific agency activities. Although the principles are fundamental to the integrity and confidentiality of personal information used in an Information Age, some may need to be adapted to specific circumstances on an agency by agency basis. Hopefully, they will also help guide policymakers and private companies alike to develop their own standards of fair information practices that attempt to balance two critical democratic values: individual privacy and the right to free speech.

- No secret governmental record-keeping systems containing identifiable personal information should exist.
- In Wisconsin, there is a strong statutory presumption of open government at both the state and local levels. State laws governing open records and open meetings are based on that presumption, with exceptions clearly delineated by statute and legal precedent.
- A reasonable expectation of privacy and accuracy of identifiable personal information is a characteristic of a free society. The statutory definition of personal information is "any information that can be associated with particular individual through one or more identifiers or identifying circumstances".
- Requests by government for identifiable personal information that is not directly required by regulatory authority or statutory mandate should be clearly labeled as "voluntary."
- Any governmental authority that creates, maintains, uses, or disseminates public records containing
 identifiable personal information should establish specific procedures to ensure the accuracy of information.
 In addition, precautions should be taken to prevent its unauthorized disclosure, factual alteration, or distortion
 within the limitations of Wisconsin law.
- Governmental authorities should provide notice to individuals, to the extent permitted by law, when identifiable personal information obtained for one reason is used or disclosed for a secondary purpose. Absent such notice or other provisions in the law, an individual should have the means to prevent the secondary use or disclosure of his/her personal information.
- Except as otherwise provided by law, an individual should be able to determine, without undue difficulty and in a timely manner, what identifiable personal information exists in a governmental record-keeping system and how it has been, or will be, used. Governmental officials responsible for maintaining the record system should provide assistance in locating the personal information being sought.
- Except as otherwise provided by law, an individual should be informed about procedures for inspecting, copying and challenging the accuracy of any public record containing their identifiable personal information. It is desirable that corrected or updated information then be provided to all third parties who had previously obtained the information, assuming the identities of these parties are known. However, individuals have a responsibility not to abuse their privacy interests by making unreasonable, inappropriate or costly demands regarding their own personal information.
- Governmental authorities should determine, record, and maintain the source, date of collection, and date of personal verification for all identifiable personal information.

Office of the Privacy Advocate 148 East Wilson Street Suite 102 Madison, WI 53702





FRAMING A STATE INFORMATION POLICY IN A COMPUTER AGE

PROPOSALS FOR CONSIDERATION

As Wisconsin approaches the 21st century, it is imperative that policy makers and lawmakers together develop an information policy which addresses both the benefits and potential abuses of technology. The challenge for government will be to maintain efficiency and cost effectiveness of programs and services, while also respecting individuals' concerns for personal and information privacy. The following proposals are intended to open the dialogue regarding a new information policy that considers technology when balancing the potentially conflicting values of openness, effectiveness and accountability with reasonable expectations of privacy.

- Design a statewide information policy based upon the Wisconsin Privacy Council's
 <u>Principles of Fair Information Practices for Wisconsin State and Local Government</u>
 (published by the Office of the Privacy Advocate in November 1994).
- 2) Insure that providers of information are informed when personal data collected by governmental authorities for one reason are subsequently used for secondary purposes. Such a disclosure is required under Sec. 15.04 (m) Stats.
- Require local government to justify all computer matching and merging programs and describe how shared data will be used. Although state agencies must report computer matching programs under Sec. 19.69, local units of government are not required to do so.
- 4) Promote the concept of <u>information stewardship</u>, acknowledging that both information providers and receivers share rights and responsibilities for the ethical handling of personal and sensitive data. The concept of stewardship allows the data provider to retain some control over how his/her own data is used and released.
- 5) Expand opt-out opportunities for citizens who are required to disclose identifiable information to the government. Model such opt-out programs after the Transportation Department which permits drivers to withhold disclosure of their identity when there is an open records request for 10 or more names.
- 6) Recognize the privacy implications of sharing protected personal information (especially confidential medical records) for the purposes of research, government audits, performance and program evaluations and benefit eligibility.
- 7) Implement policies and procedures to safeguard confidential data from unauthorized or inadvertent release which would constitute an invasion of privacy. Data managers and their supervisors must follow appropriate codes of conduct and institute computer security measures to protect the privacy of protected personal data.
- Re-examine Wisconsin's open records law in light of emerging telecommunications and computer technologies. Consider whether personal and potentially stigmatizing information, such as a person's Social Security Number, home phone number and credit card numbers, could be excluded from a public record without jeopardizing underlying principles of openness and access. Question whether it was the intent of the law's framers that entire databases should be made available at low cost for private commercial gain.

Sec. 43.30 Stats. protects library records, and sec. 895.50 Stats. defines "invasions of privacy"				
Subchapter IV of Chapter 19 in the Wisconsin statutes spells out the authority of the Privacy Council and the Privacy Advocate and also contains several other privacy provisions.	the Privacy Advocate and whether there existed in Wisconsin other statutes affecting privacy matters.	wal-94 Filvacy Statutes	Wai-94	C
record. Wisconsin government operates under a presumption of openness.				
Subchapter II of Chapter 19 in the Wisconsin statutes contains the state's open records	Caller was uncertain about the scope of Wisconsin's open records law which seems in conflict with his desire for information privacy	Mar-94 Wisconsin Open Records Law	Mar-94	
Sec. 19.71 Stats. prohibits the sale (for profit) of identifiable data unless specifically permitted by law.	General inquiry about the statutes regulating the sale of identifiable information by state and local agencies.	Mar-94 Sale of Data	Mar-94	1 0
information on individual mortgage lenders and details about their mortgage which are confidential. However, trade associations may also have such data.	address, mortgage lender, amount of mortgage, type and date of mortgage.			
Sec. 19.71 Stats. prohibits the sale, by state	Caller inquired about the availability of identifiable personal information on Wisconsin homeowners including: name.	Mar-94 Sale of Data	Mar-94	رن ر
Private business may request SSN, but non-disclosure may mean refusal of a service (e.g. credit card) or a product.	Concern expressed regarding the inability to obtain revolving credit after refusing to disclose ones Social Security Number (SSN).	Misuse	reb-94	4
Sec. 19.71 Stats. prohibits the sale (for profit) of identifiable data unless specifically permitted by law. Also provided Division of Information Technology contact names for the requested cost figures.	Concerns expressed about: (1) the sale of identifiable data collected and stored by state agencies; (2) the cost of establishing and operating DOAs Division of Information Technology.	Feb-94 Sale of Data	Feb-94	, o
Same as complainant #1.	Prisoner wanted identifiable information expunged if not convicted of a felony.	Jan-94 Criminal Records	Jan-94	2
Tracked and negotiated pertainent legislative bills to resolve complainant concerns (AB 107, SB 60). Bills failed to pass during the 1994 legislative floor period, but may be re-introduced	The return or expunging of identifiable materials (e.g. "mug shot" and fingerprints) if not convicted of a felony.	Dec-93 Criminal Records	Dec-93	
Outcome - :	Issue or Inquiry	Key Word	Date	**

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	<u>-</u>	133	12		10	9
	May-94	May-94	Apr-94	Apr-94	Apr-94	Date Apr-94
	May-94 Privacy Statutes	May-94 Whistleblower	Apr-94 Confidentiality of Medical Data	Apr-94 Confidentiality of Medical Data	Apr-94 Confidentiality of Medical Data	te Key Word Apr-94 Computer Privacy
	Caller expressed concern about the privacy of identifiable data bases maintained by private organizations (including shoppers clubs and video stores) and public entities (i.e. library use history).	Caller alleged harassment for revelations of SSN and Medicaid fraud and feared employer retaliation. Inquired about possible redress and privacy protections.	Inquiry regarding the confidentiality of data relating to interdisciplinary medicine.	Director of a health support group inquired about the confidentiality of medical and treatment records.	Question as to what confidentiality provisions exist to protect the confidentiality of welfare recipients.	Key Word Issue or Inquiry Computer Privacy Concern regarding automated personal information being kept confidential in light of existing technology which permits it to be stored, linked, merged and networked.
	Sec. 43.30 Stats. protects library records, and federal law prohibits disclosure of video and movie rental in an identifiable manner. There are few, if any, proscriptions regulating the use and sale of identifiable data by and among private organizations.	Sec. 19.57 (data collection) and sec. 19.69 (computer matching programs) Stats. contain the pertainent privacy provisions. Also, whistleblower protections are spelled out in sec. 895.65 Stats	Same as complainant #11.	Chapters 146 and 153 of the Wisconsin statutes, as well as sec. 895.50 Stats., protect patient confidentiality. The Fair Health Information Practices Act of 1994, (HR 1994) has been introduced at the federal level. Also referred caller to Rep. Marlin Schneider of the Wisconsin State Legislature.	Sec. 49.53 Stats. requires the appropriate county agency to maintain a monthly list of AFDC and general assistance recipients and the benefit level of each. This is a public record although recipients must be notified within 72 hours of record review.	Outcome Sec. 19.69 Stats. requires computer matching programs to be identified, justified and described to Wisconsin's PRFB. Chapters 146 and 153 protect patient confidentiality by providing criminal and civil liability for breaches of confidentiality.

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	Surveillance	anty or ata		May-94 Confidentiality of Medical Data	May-94 Unsolicited Mail	May-94 Confidentiality of Financial Data	May-94 SSN Overuse and Misuse	A CONTRACTOR
	Caller expressed concern about surveillance of private sector employees. Questions were also raised about use of polygraphs and wire taps.		security equired"	Caller complained that identifiable tumor registration information was shared with researchers. Her attending physician was contacted regarding enrollment in a survey research protocol which involved personal and potentially stigmatizing questions.	Caller complained that unsolicited mail was being received at a secondary address known only to utility companies. He felt this was evidence that mailing lists were being sold without customer consent.	Caller complained that a copy of a recent credit report was attached to her employment termination notice.	Caller complained about being required to identify SSN along with tax ID number in her art business.	ISSUE OF INCUITY
CONSCIR.	Sec. 968.27 Stats. (wire tap prohibitions) contains the only Wisconsin law regulating surveillence in the private sector workplace. Sec. 942.06 & 111.37 Stats. state that polygraphs may be used in a limited manner in employment situations with	Privacy Advocate requested an attorney general opinion on the authorization section of the release form. The AG was asked to clarify whether names or organizations may be identified as having access to a medical report.	The Social Security Act of 1935, the Privacy Act of 1974, the Tax Reform Act of 1976, the Family Support Act of 1988, and the "Buckley Amendment" of 1974 all spell out when the SSN is "required" and when the number can only be "requested".	The Director of the Bureau of Public Health confirmed in writing that identifiable tumor registry data is shared only with authorized employees and researchers in compliance with written agreement	MG&E confirmed that no identifiable information is sold, while Ameritech provided their written policy stating that only directory information is sold or shared	Caller failed to provide requested documentation; thus no further information is available on this inquiry	The 1976 Tax Reform Act permits governmental authorities to require SSN for tax, welfare and motor vehicle registration. Since 1961, the IRS has allowed the SSN to be used as the taxpayer ID	Outcome

activity is involved or suspected. No other statutes were found to regulate private sector surveillance.				
sec. 230.86 Stats, prohibits disciplinary action based in whole or in part on wiretapping, electronic surveillance or one-way mirrors unless criminal	being used in the workplace to monitor employees and their performance.	Surveillance	9	
entitled "UC/IRS".		lin-94 Workslace	lun-o	.2 75
This revised list of data sharing agreements (dated	with the IRS.	Sharing		
After meeting with DILHK officials, a revised listing of its computer matching programs was forwarded.	identifiable wage information to be matched and shared	and	!	
questions and complaints.	Caller questioned whether Oll UD was possible	Jun-94 Data Race	Jun-9/	34
hotline 608-267-9780 which responds to Caller ID	how Federal Express' Caller ID was able to identify him.			
to Referred caller to the Public Service Commission	a medical disability expressed concern as	Jun-94 Caller ID	Jun-9	ၰ
	a person's SSN.			
Also see complainants # 15 and 28.	often. He questioned what laws require the identification of			í
	Caller complained about basing to displace his control	Jun-94 SSN Overrise and	√6-unF	32
personnel records of public employees. Also sec. 19.35 Stats. may be applicable.	under the Wisconsin Open Record's "balance test".	7,000,00		
covering access to and confidentiality of the		Pocardo		
Sec. 103.1013 and sec. 230.13 Stats. outline laws	Caller asked whether personnel records are generally	Jun-94 Confidentiality of	-9-nuc	<u>ن</u>
information to pharmaceutical companies."				1
billion prescriptions filled each year in the US is	confine dai pulposes.			
1993, page I5 stated, " nearly half of the 1.6	ns per year that are shared or sold for	Pharmacy Records		
The Hastings Center Report, November-December		Jun-94 Confidentiality of	Jun-9	 မ
the public interest. This case eventually ended in litigation.				
information when the personal interests outweigh	employees who could be recruited for union membership.			
including a "balance test" to refuse the release of				
statutes contains open records proscriptions	ome	Records Law		
Subchapter II of Chapter 19 in the Wisconsin	Caller inquired about statutory authority allowing DER to	Jun-94 Wisconsin Open	Jun-9	29
Outcome	Issue or Inquiry	A CONTROL	102 (C	#
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						24)
41	40	39	38	37	36	#
Jul-94	Jul-94	Jul-94	Jul-94	Jul-94	Jun-94	рате
Jul-94 Disclosure of Personal Information	Jul-94 Data Base Matching and Sharing	Jul-94 Wisconsin Open Records Law	Jul-94 Confidentiality of Medical Data	Disclosure of Student Information	Jun-94 Disclosure of DMV Data	EVENAMENTS
Municipal utility board member complained that local utility PSC 113 spells out what personal information a applications were asking for more personal information than utility may "require" to initiate service, utility service was permitted under PSC regulation. may not be disconnected or denied for refusal to disclose additional information.	City official inquired about the proper use of newly created identifiable data bases. Specigfically as to what automated information can remain confidential and what may be matched or released.	County official inquired whether Wisconsin's Open Records Law would require him to release the names and home addresses of county public employees.	Complainant expressed concern over being required to release personal, stigmatizing and "irrelevant" medical information to lawyers on a plaintiff. Included was counseling following a 1988 sexual assault which the caller felt was not germane to the case.	A mother called expressing concern that a school official released to her estranged husband confidential counseling reports maintained by the school on her daughter.	Complainant expressed concern over the release of identifiable motor vehicle information to marketers. unaware that he could "opt-out" of having his name disclosed when there is a request for data bases of more drivers.	Issue or Inquiry
PSC 113 spells out what personal information a utility may "require" to initiate service, utility service may not be disconnected or denied for refusal to disclose additional information.	Sec. 19.69 Stats. spells out proscriptions for computer matching programs and Wisconsin Open Records Law guides the release of public records. The Wisconsin Privacy Council's newly developed "Standards of Fair Information Practices" also provide guidance.	Subchapter II of Chapter 19 in the Wisconsin statutes spells out the proscriptions of Wisconsin's open records law, including the "balance test" which may be employed when personal privacy out weighs the public benefit. Also see # 26.	Chapters 146 and 153 of the Wisconsin statutes protect the confidentiality of patient records unless there is informed written consent for release of identifiable information. Exceptions include cases where "implied consent" or no consent is needed.	A Madison School District attorney verified that a biological parent, whether the parent is the custodial parent or otherwise, has the right to review and obtain identifiable records on his/her child.	Sec. 19.71 Stats. prohibits the sale of names or He was addresses, while sec. 341.06 (1m) Stats. permits an individual to request to have his/her name withheld when the disclosure involves I0 or more names/addresses. This form can be obtained at DOT upon request	Outcome

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									T		····							i.		2 Aug-94	Date
	Kesidence Privacy	-	Aug-94 Wire Tapping	Aug-94 Birth Certificate			Legislation	Aug-94 Privacy					Aug-94 Confidential Faxes		Data	Aug-94 Disclosure of DMV			Medical Data	Confidentiality of	Key Word
	enter her residence for tax assessor was permitted to enter her residence for tax assessment purposes.		Inquiry about state and federal wire tan statutes	Complainant questioned why she, as a new mother, had to reveal her smoking and drinking habits in an identifiable manner in order to obtain a birth certificate for her infant.		.:	legislation in Wisconsin or at the federal level.	Caller inquired about existing or proposed privacy			היתימיוים ווו בומכים.	information were being faxed without proper security	-	number of the family car which was traveling on a state highway.	name and address of his wife by copying down the license	Caller expressed concern that a stranger had obtained the		to-work order.	handling of a medical evaluation required as part of a back-document company policy and procedures insuring	Complainant expressed concern over the sharing and	Issue or inquiry
i cyulanolis.	Referred caller to the Madison Tax Assessment Office for clarification of city and federal	Wisconsin. At the federal level the FCC permits taps only under court order if criminal activity is suspected or involved (18 U.S. C. 2510).	Con DEB 27 Clair	The DHSS Division of Health does require disclosure of drinking and smoking habits on the application for certificates of birth (questions #48a and b). Written inquiry to the Section of Vital Statistics has been sent; to date no response has been received	privacy in general terms; sec. 968.27 Stats.	of library use records; sec. 895.50 Stats. grants	provisions. Sec. 43.30 Stats. protects the privacy	1991 Wisconsin Act 269 contains several privacy	officials.	sheet for general distribution to governmental	containing confidential information. The Office of	misuse or the unintentional receipt of faxes	DOA fay cover shoets include a second and a second a second and a second a second and a second a second and a second and a second and a	DOT on motor vehicle titles, registration	disclosure of identifiable information provided to	Wisconsin's open records law permits the	medical reports submitted as part of a back-to-work order.	the confidentiality and security of stigmatizing	document company policy and procedures insuring	Pending. Caller's employer was asked in writing to	Outcome

<u>ა</u>	55	54	53	52	51	50	49	#
		Уер-94						Date
Sep-94 Confidentiality of Medical Data	Sep-94 Medical Release Authorizations	Confidentiality of Medical Records		Sep-94 SSN Overuse and Misuse	Sep-94 SSN Overuse and Misuse	Aug-94 Confidentiality of Financial Data	Aug-94 Drug Screen Protocols	Key Word
Planned Parenthood called to express concern over the requirement that they must share with the DHSS potentially identifiable family planning information for the purpose of "outcomes research" being conducted by the agency.	ation d	Attorney wrote on behalf of an anonymous client who felt her privacy was compromised when mental health clinic records were randomly reviewed by the DHSS as part of their internal audit of clinics qualifying for MA.	Caller expressed concern that she was required to identify her SSN on a grocery store's check cashing card.	Caller was upset that he was required to disclose his SSN to the DOT at the time his car was registered.	Tenant called to complain that he had to disclose his SSN on an application for apartment rental.	Tenant called to complain that an application for apartment rental required disclosure not only of her financial institutions, but also her bank account numbers.	Caller inquired about the existence of protocols to govern and protect employees subjected to alcohol and drug testing (urine) in either government agencies or private sector organizations.	Issue or Inquiry
Case is pending.	Request has been accepted and work is in progress.	Sec. 51.30 (4) (b) Stats. applies to the confidentiality of medical information although exceptions are enumerated. This case is pending. The agency involved has been asked to justify its access to privileged identifiable medical records.	Also see #52.	Also see #15.	Federal law allows private sector entities to request the SSN and refuse any service or product for failure to disclose.	After checking with several financial institutions it became clear that banks would not release account information. This appears to be an excessive request by the property management company.	Model protocols are being developed by federal agencies and national organizations. In Wisconsin the Dept. of Transportation is taking the lead in developing drug/alcohol screening protocols. Referred caller to chief counsel at DOT.	Outcome

Oct-94 Confidentiality of Caller wanted clarification of protections pertaining to the confidentiality of medical information involved with requestion for medical leave, family leave, return-to-work, and other
Caller wante
o the Come in mending

73	72	71	70	69	68	67	66	65
								o o
Oct-94 Fair Credit Reporting Act & the SSN	Oct-94 SSN Overuse and Misuse	Oct-94 Computer Matching	Oct-94 SSN Overuse and Misuse	Oct-94 SSN Overuse and Misuse	Oct-94 Drug Screen Protocols	Oct-94 Workplace Surveillance	Oct-94 Wisconsin Open Records Law	Cd-94 SSN Overuse and Misuse
Caller had several questions about the provisions of the FCRA and other pending legislation in Congress.	Caller questioned why he was required to disclose his SSN when making inquiries regarding entrance into a graduate program at UW-Madison.	Employee asked whether it is necessary to disclose on state forms when personal information may be used for secondary purposes. Specifically if one agency enters into a matching program with another agency.	senting an out-of-state credit reporting firm cuss the above mentioned complaint and open records law.	oressed serious concern that SSNs were being m public Federal Tax Lein records housed in lerks offices in Wisconsin. Her complaint focused ahoma credit reporting agency planning to sell vs.	monitoring. Visitor shared materials describing newly implemented departmental policy for drug and alcohol screening protocols.	conducting research on statutes and rules vorkplace surveillance and/or employee	blic official inquired whether records of individuals noccupational licensing exam administered out-of- uld need to be released under Wisconsin's open aw.	Complainant was angry over the need to reveal her SSN during pre-natal registration at the birthing unit of her local hospital.
Sen. Simon and Rep. Condit have both introduced bills regulating the sale/use of identifiable personal information, especially medical information.	Incomplete details regarding this particular inquiry keeps this question in "pending" status.	Sec. 15.04 (1) (m) Stats. requires that each state form soliciting identifiable personal information must conspicuously disclose whether that information will be used for any purposes other than the reason it was originally collected. This includes matched data bases.	Wisconsin's open records law permits agents from out-of-state businesses to collect personal information from Federal Tax Leins. This information includes SSNs.	Discussed the issue of collecting SSN for UUC and/or Federal Tax Leins with officials at the Office of the Secretary of State. Received some assurance that their policy relating to collection and release of the SSN would be reconsidered	New federal law requires state agencies to implement alcohol screening protocols effective January 1, 1995.	Also see #65.	Sec. 19.36 (3) Stats. states that public records include any record produced or collected under a contract that is entered into by a state authority.	Outcome This case is pending.

	requesting access.			
were not responsive to his open records request.	that the DNR records are erroneous. He sent a formal letter were not responsive to his open records request.			
the DNR and was told to inform the OPA if they	property. Specifically he wanted to affirm his suspicions			
and legally. He sent the OPA a copy of his letter to	liney pertained to seeking documentation on his neighbor's	Records Law		
Caller was informed mat he was proceding properly	ibana in a manara a series de la casa de la			
Caller was informed that he was propoding assent.	Caller wanted information regarding open records rights as	Dec-94 Wisconsin Open	J-oed	88
for justification for this practice	student doctor/dentist appointments.			
Department and possibly the school board asking	being solicited was information regarding the frequency of	Information		
follow-up letters going to the EauClaire Health	board to submit legal documentation of divorces. Also	Personal		
Caller is to send background documentation, with	Caller complaint regarding the requirement of local school	Dec-94 Disclosure of	Dec-s	87
	in his home country.			
	drivers license without a SSN and without having a license			
referred to a source at the DMV.	Specifically, whether the visitor could obtain a Wisconsin	Misuse		
After extensive phone discussion, the caller was	Call on benalt of a foreigner visiting US on a fourist visa.	Dec-94 SSN Overuse and	Dec	9
of identifiable personal information.				000
the authority over and collection of fees for the sale	of identifiable personal information.			
Sec. 19.62,19.71,19.32, and 19.35(3) Stats. outline	tions relating to the sale	Dec-94 Sale of Data	Dec-s	85
	audit by the LAB.			
	indigency. The policy is being considered as a result of an			
contact.	disclosure of the SSN to aid the pusuit of tracking	Misuse		
Wrote letter of inquiry to Deptartment of Justice	Caller inquired whether her agency could require the	Dec-94 SSN Overuse and	Dec-(84
	embodied in Wisconsin and federal law.	Legislation		
Also see complainant #45.	Caller wanted information regarding privacy provisions	Dec-94 Privacy	Dec-9	83
sector.				
combat the sale and release of SSNs in the private				
etc. to urge the involved legislative parties to	obtained her SSN from TRW.			
state legislator, an OBC attorney, Rep Schneider,	SSNs. After the purchase of a cellular phone, the store	Misuse		
Referred caller to congressional delegation, her	Caller asked about the ability of private vendors obtaining	Dec-94 SSN Overuse and	Dec-s	82
C ON CONTRACT OF THE CONTRACT			,	3
Outcome	Key Word Issue or Inquiry			##

	THE CASE OF THE CA			
to judge if the case came under her perview.	about the caller. He had obtained a copy of the document			
concerns in writing so that she may be better able	where a potentially "libelous" document was circulated	Records Law		
Advocate asked caller to outline his case and	Caller was concerned about occurance at a closed hearing	Jan-95 Wisconsin Open	Jan-95	8
expansion of the SSN	to use, link and share information.			
agreed to send information about the growth and	Also discussed various other entities that "require" the SSN			
privacy and information on the OPA. Legislator		Misuse		
Sent caller the Milwaukee Sentinel series on	Legislative constituent expressed concern that his 17-year-	Jan-95 SSN Overuse and	Jan-95	7
	computer era.			
-	private entities. Also discussed other privacy concerns of a			
position on the Council.	disclosure of the SSN by the DOT and other public and	Misuse		
Advocate suggested that the caller apply for a	Caller, a state employee, called to discuss the wide required Advocate suggested that the caller apply for a	Jan-95 SSN Overuse and	Jan-95	<u></u>
	information.			
	challenge the accuracy of their own identifiable personal			
the main media for the OPA at this juncture.	privacy provision permitting individuals to access and	Information		
task. Press releases, hand-outs and brochures are	wanted to know how the council planned to publicize the	Personal		
A small budget and staff makes this a difficult	Caller received the Privacy Council's "Principles" and	Jan-95 Disclosure of	Jan-95	<u>ত</u>
prior to the call.				
had been resolved between DR&L and the caller				
sample language to send to DR&L. The situation	inquired about her licensing record.	Information		
the wording on the forms and developed some	Licensing had released her home address to a prisoner who	Personal		
Discussed with caller ways that DR&L could alter	Caller was upset that the Department of Regulation and	Jan-95 Disclosure of	Jan-95	4
	accordance with sec. 16.04 Stats	Information		
language to use in the disclosure statement.	collected on state forms needed a disclosure statement in	Personal		
The answer is yes and the caller was sent model	Caller wondered if the identifiable personal information	Jan-95 Disclosure of	Jan-95	3
neutral number.				
UCare immediately changed her identifier to a		Misuse		
as By calling UCare and expressing her concern,	Caller was concerned because UCare was using her SSN as	Jan-95 SSN Overuse and	Jan-95	2
be refused service for not disclosing the SSN.				
regulation in the private sector, the consumer may	SSN is "required".			
request for the SSN. But, due to a lack of	disclose his SSN. Also touched upon other areas where the	Misuse		
Caller was informed that this was an unjustified	Caller wanted to join local moose lodge and was asked to	Jan-95 SSN Overuse and	Jan-95	
Outcome	Issue or Inquiry	Key Word	Delle	#

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		Feb-95		Feb-95			•	Feb-95				Feb-95					Feb-95			Jan-95			Jan-95		Jan-95				Jan-95	Pale.
Information	Student	Feb-95 Disclosure of		Feb-95 Workplace				Feb-95 Wire Tapping				Feb-95 Surveillence					Feb-95 Remote Printers		Misuse	SSN Overuse and		Misuse	SSN Overuse and	Misuse	Jan-95 SSN Overuse and		Information	Personal	Disclosure of	eliona, mara
	the release of student information to the selective service.	Reporter called to ask whether federal or state law regulated		Reporter called to see if the OPA had handled many complaints regarding workplace monitoring			concerning wire tapping.	nding legislation at the federal level	satellites at Mitchell Airport.	the information was being transmitted from her home via	wired by the government and other unknown entities. Said	Caller was concerned that her home was electronically	printer.	inadvertently sent a confidential printout to an incorrect	frequency throughout state agancies. She herslef had	remote printers which are being utilized with incresing	Complainant was concerned about the confidentiality of	tax forms.	was concerned about the SSN being on the mailing label of	State representative wrote on behalf of a constituent who	directory list of eligible vendors certified by the state.	SSN and Tak ID numbers of vendors on a circulated	State agency employee called to discuss the disclosure of	mailing label of tax forms.	Caller asked why the IRS and DOR include the SSN on the		kiosks to allow access to personal information.	to discuss the privacy implications of setting up public	Client representative of IBM called for our "Principles" and	
request for pupil data.	disclosed that they would consider the military's	ed Contact with the Oshkosh Board of Education	contacts who may have more experience with surveillence issues.	Advocate shared anecdotes she has picked up on the topic and referred the condition to the	state level.	Rottenberg who work with and follow federal	was referred to Robert Ellis Smith and Marc	Discussed the scope of the "Clipper" bill. Caller				Caller was advised to call her polic department			future "fact sheet" distributed by the OPA	"new" issue. Said this was a possible topic for a	Advocate thanked caller for alerting her about this			Also see complainant #10.	individuals.	contains disclosure requirements as they pertain to	1974 Privacy Act was faxed to the caller It	at the Department of Justice	Advocate forwarded the response from her contact	information standards in place at IBM.	representative said she would look into the fair	offered her expertise and services. The	After a long discussion of the issues the Advocate	Outcome

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Personal Information	Feb-95 Criminal Records	Medical Records	Privacy Legislation	Feb-95 Wisconsin Open Records Law	Feb-95 Personal Privacy	Feb-95 Disclosure of Student Information	Feb-95 SSN Overuse and Misuse	Personal Information	Eeh-95 Disclosure of
information required to obtain a birth certificate.	n tate	Caller expressed concern over an aggregious breach of medical confidentiality when a state surplus computer was found to contain hundreds of medical records. He was concerned that he may be one of the 600 identified patients.	Feb-95 Privacy Legislation Student called wanting advice and information for a paper addressing pending legislation and privacy issues.	Caller inquired about state statutes concerning open records, specifically as they relate to privacy versus open information.	Letter complaining about the "sloppy" practice by Ameritech leaving new phone books in the driveway or on the homeowner's front porch. The complainant, who does extensive traveling, was concerned that prowlers would be tipped that the house ws unoccupied.	Official inquired about the stautory protections at the state and federal level regarding the confidentiality of student records. Specifically, can GED test scores be identified on a student's GED certificate?	Caller objected to the widely required disclosure of the SSN.	concern that the names of patrons were being released by the County Human Services Department to a private, non-profit entity without victim consent.	Worker for the Virlin Composition Browns
After getting the approval of a past complainant on this issue, the two were put in touch with each other.	Referred caller to Rep Balwin and Sen Risser who drafted corrective legislation in the past legislative session.	Wrote to both Rep Schneider and the source who discovered the records, asking that they address the caller's concerns.	Referred her to several legislators actively engaged in these issues.	Chapter 19, Subchapter II of the statutes was sent to the caller. Also, caller was referred to the Legislative Reference Bureau and to a contact in the Department of Justice.	Spoke with the author of the letter. No further action was necessary.	Contacted source at the Department of Justice.	N. Advocate suggested she write to her legislator and will forward this concern to her council.	names are part of a public record. She suggested that the involved parties express their concerns to the department.	Outcome

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Mar-95 Cost of Obtaining Public Records		and	Mar-95 Confidentiality of Medical Data	Mar-95 Confidentiality of Medical Data		Nelly Ville Inc.
300 for a amount	Caller expressed fear that the Department of Regulation and Licensing was giving out licensing information upon request, including her home address. One such requestor was a former patient of hers, now in a state prison.	Caller from the Bureau of Procurement wondered why a the SSN of a vendor needed to be revealed in contractual information distributed to local levels of government.	ant (see #25)called a council member to rns.	Physician complained on the behalf of patients for whom he has authorized the approval for disabled license plates. This information is being disclosed by the DOT and susequently used by the private vendor in an invasive and fradulent manner.	Student expressed concern about the privacy implications of GIS and other large databases. Existing technology merges zipcodes, census blocks, consumer profile information, land data, and demographic information.	ney word Issue of Inquiry
Sec. 19.645 and 19.646 Stats. allow governmental agencies to charge for services a "cost to cover the reproduction". Confered with contacts in the Department of Justice, the Elections Board, Infotech and the City Clerks Office to analyze the cost involved	Both the Advocate and the called contacted the DR&L to suggest that applicants for licenses should be given a choice between either home or business addresses.	Also see complainant #11.	Advocate and member decided that it may be important to write to medical and state entities that house medical records to ensure that proper policies and procedures are in place.	he Advocate continues to research this concern.	Discussed the privacy implications of enhanced technology.	Outcome

PRIVACY COUNCIL

Deborah Beck 1001 East Donges Lane Bayside, WI 53217 (414) 299-7363 (414) 299-2559 FAX Assembly Minority Representative

F. Anthony Brewster
Stolper, Koritzinsky, Brewster & Neider, S.C
4818 Marathon Drive
Madison, WI 53705
(608) 833-7617
(608) 829-2080 FAX
Supreme Court Representative

James Burgess P.O. Box 55060 Madison, WI 53705-9360 (608) 252-6090 Ext. 4102 Public Member

Senator Joanne Huelsman 33 South State Capitol Madison, WI 53702 (608) 266-2635 (608) 266-7038 FAX Senate Majority Representative

Rev. Bernard McGarty Blessed Sacrament 130 Losey Blvd South LaCrosse, WI 54601 (608) 782-2953 Public Member

Jo Ann Oravec
Baruch College School of Public Affairs
17 Lexington Avenue
Box 227A
New York, NY 10010
(212) 802-5944
(212) 802-5903 FAX
Senate Minority Representative

Representative Marlin Schneider 204 North State Capitol Madison, WI 53702 (608) 266-0215 (608) 266-7038 FAX (former) Assembly Speaker Representative

Robert H. Wills 17965 Maple Tree Lane Brookfield, WI 53045 (414) 783-4614 Public Member

STAFF:

Carole Doeppers
Privacy Advocate
148 East Wilson Street
Suite 102
Madison, WI 53702
(608) 261-6262
(608) 261-6264 FAX

Lisa Ellinger Program Assistant 148 East Wilson Street Suite102 Madison, WI 53702 (608) 261-6261 (608) 261-6264 FAX

Joint Finance Hearing March 27, 1995

Thank you ladies and gentlemen for agreeing to hear this plea for survival from one of the newest and smallest agencies of state government, the Wisconsin Privacy Council and its sole functionary, Carole Doeppers, the Privacy Advocate.

I am Bob Wills, chairman of the eight member citizen council. I will be followed by Carole Doeppers, an unclassified employee, who has served as Privacy Adv ocate for about a year and a half.

As background: You will recall that until recently Wisconsin has had little or no statutory privacy law. Then in the 1991 budget bill, new laws were created by the legislature relating to personal information that was contained in state and local government records.

These new laws were based primarily on proposals developed by the Legislative Council's Special Committee on Privacy and Information Technology.

Behind the committee's proposals was a growing awareness of the potential for governmental and business invasion of private lives as a result of the information revolution that was occurring as records were computerized, then networked.

It became apparent that as our health records, credit records, driving records, criminal records and all other records were gathered and shared, there was the potential for abuse of our privacy literally at the push of a few keys on a computer keyboard.

What to do about it? The Wisconsin legislature in 1991 created one of the first agencies of its type in the nation to confront the problem. It remains today a showcase pioneering example for other states.

The 1991 laws created the office of Privacy Advocate to help individuals in dealing with state and local authorities regarding their use of identifiable personal information and to advocate policies that protect personal privacy.

The legislation also created the Privacy Council to advise the Advocate and to make recommendations to the Governor and the Legislature.

The Council has functioned since July, 1993. One of its first priorities, of course, was to hire Carole Doeppers and to get her functioning on a daily

basis, establishing a presence in Madison and increasing the awareness of both state and local governments that someone was watching for abuses of invasion of personal privacy.

A second priority was the establishment of "Principles for Fair Information Practices" applicable to both state and local governmental units. We on the Council are proud of those principles. Beginning last October, they have been distributed to governmental officials throughout the state. We are handing out copies today for your review.

Briefly, while acknowledging the strong statutory presumption of open government in the state, the Principles emphasize the expectation for the privacy of personal information.

If I may make a brief personal digression: As some of you may remember, I have spent a large part of my career fighting for open records and open meetings in government. Yet I have also ardently supported the privacy of personal information. I have concluded that there need not be a conflict in these two seemingly contradictory goals.

I believe the rationale for this position is clearly laid out in the Council's Principles.

Initially, a goal for some members of the Council was the writing of similar Principles for the guidance of private businesses. However, there has not been unanimity among Council members as to whether it has the authority to move ahead in this area. A subcommittee has been formed to make recommendations this spring.

To reiterate, it is Carole Doeppers who through her daily contacts with the public and government officials carries the banner of the sanctity of personal information. Because of these daily contacts, she can recite a litany of abuses and will do so.

But first, let me make a final point: I believe every member of the Privacy Council is convinced of the need for some governmental representative to advocate the cause of those who feel they have been wronged by invasion or misuse of their private information by government. And I believe most would support the continuance of the Council.

If you conclude, however, that there is a necessity to eliminate the Council through the budget process, then I strongly urge that you continue to fund the position of Privacy Advocate.

I am sure that Carole Doeppers will argue that, since she is literally working where none has worked before, she needs the guidance and advice of the eight member citizen council. I will let her state her own case.

Thank you,

Robert H. Wills

Chairman, Wisconsin Privacy Council